

RISK ASSESSMENT TEMPLATE (RAT) 18/02

Date of First Assessment	19/05/2018
Risk Owner	ACO Service Support
Risk Manager	Area Manager Finance and ICT
Primary Risk Heading(s)	Regulatory Finance Reputation
Secondary Risk Descriptor(s)	Loss of data or information Loss of access to systems and services Service wide impact Potential fines, litigation, penalties Impact on data subjects if sensitive information misused Reputational damage

	Likelihood	Impact	Risk Rating
Current Controls (Based ONLY on completed control measures)	4	5	VH
Residual Risk (AFTER implementation of further control measures)	3	5	VH

Hazard Statement
Failure to secure data or prevent denial of service or other cyber attacks.

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Control Measures	Owner	Deadline	Date Completed
Enforcement of passwords policy and staff awareness	ICT Manager		Ongoing
Annual Health Checks and Penetration Testing	ICT Manager		Ongoing
Network and software security	ICT Manager		Ongoing
Security of buildings	Estates Manager		Ongoing
IT Disaster Recovery Planning	ICT Manager		Ongoing
Network security enhancements	ICT Manager	Feb 2019	
Authorising and reporting of control measures	ICT Manager	Feb 2019	
IT Accreditation	ICT Manager	Mar 2019	
IT Health checks	ICT Manager	Oct 2018	
Service Continuity Plans , ICT Disaster Recovery	ICT Manager	Sept 2018	
Range of IT improvements	ICT Manager	Feb 2019	

COMBINED RISK MATRIX

IMPACT	5	H	VH	VH	VH	VH
	4	M	H	VH	VH	VH
	3	M	M	H	H	H
	2	L	M	M	M	M
	1	L	L	L	L	L
		1	2	3	4	5
		LIKELIHOOD				

Name of Assessor	Adam Stretton
Date of Assessment	19 th May 2018
Date Sent to Corporate Risk	16 th July 2018

REFER TO

CORPORATE	Y
STRATEGIC	N
TACTICAL	N
OPERATIONAL	N

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Task Changes / Additional control & Risk Management Methodology

	<p>Identify any changes or additional control measures that are required.</p> <p>Indicate by whom and when the control will be initiated.</p> <p>If no change state "No change"</p> <p>If no longer required state "No longer required" and give details</p>	Residual Risk	<p>Risk Management*</p> <p>TOLERATE</p> <p>TRANSFER</p> <p>TREAT</p> <p>TERMINATE</p> <p>*Include explanation for selection of management methodology</p>	Date(s) review was carried out	Review carried out by
1	Initial assessment and action plan	H	Treat	19/05/18	Adam Stretton
2	Approved by SMT	H	Treat	23/07/18	SMT